

**DOCUMENTS ATTACHED* TO THE ISSUER'S STATEMENT
FOR PRELIMINARY CONCLUSION**

№	Document name	
I. «Stock Market»		
1.	a copy of the decision of the general meeting of shareholders or the Supervisory Board of the issuer on the inclusion of the issuer's securities in the quotation list of the Exchange	
2.	a certificate confirming the presence of independent members in the Supervisory Board in the number prescribed by law, completed according to Appendix №7	
3.	copies of the founding documents, with all amendments and additions (Charter)	These documents are required to be provided on the website of the Unified Corporate Information Portal (openinfo.uz)
4.	copies of financial statements for the last 3 years	
5.	copies of audit reports for the last 3 years	
6.	copies of decisions on the issuance of shares and the prospectus for the issuance of shares	
7.	copies of IFRS (International Financial Reporting Standards) and ISA (International Standards on Auditing) for the last year	
8.	a list of affiliated persons	
9.	certificate of state registration	
10.	order for the establishment of an internal audit service	
11.	order for the establishment of a corporate governance department	
12.	certificate of dividends paid over the last three years	
13.	copy of the decision of the AGM on the adoption of the corporate governance code.	
14.	economic standards met in accordance with the requirements established by the Central Bank of the Republic of Uzbekistan (for banks)	
15.	indication of the name of the corporate website of the issuer	
16.	information about free-float	
II. «Bond Market», Corporate Bonds, Sustainable Development Bonds (ESG), Infrastructure Bonds, Exchange-Traded Bonds, Deposit (Savings) Certificates of Banks:		
1.	a copy of the decision of the Supervisory Board or the relevant body authorized by the highest governing body of the issuer to include the issuer's securities in the quotation list	

2.	copies of the founding documents with all amendments and additions
3.	copies of financial statements for the last 3 years
4.	copies of audit reports for the last 3 years
5.	decision on the issuance of bonds and the bond issuance prospectus
6.	copies of IFRS, ISA, or GAAP (Generally Accepted Accounting Principles) for the last year
7.	a completed table of cash flows related to the security
8.	indication of the name of the issuer's corporate website
9.	a copy of the document that serves as the basis for the issuance of deposit (savings) certificates (the decision of the authorized governing body of the bank)
10.	a copy of the rating report on the issuer's credit rating**
11.	a copy of the certificate of the issuer's current credit rating, assigned no more than 6 months before the date of submission of documents for inclusion in the quotation list**
12.	a copy of the independent assessment (verification) of the organization that independently evaluates projects to be financed through the issuance of bonds for compliance with the ESG of the bonds and/or the framework program for their issuance with the basic principles of internationally recognized standards in the field of sustainable development
Bonds of International Financial Organizations:	
1.	copies of financial statements for the last 3 years
2.	copies of audit reports for the last 3 years
3.	decision on the issuance of bonds and the bond issuance prospectus
4.	a completed table of cash flows related to the security
III. «Government securities market» Government Treasury Obligations, Bonds Issued by the Cabinet of Ministers of the Republic of Uzbekistan, Bonds of the Central Bank of Uzbekistan, Municipal Bonds:	
1.	decision on the issuance of securities by the Government (Central Bank) of the Republic of Uzbekistan
2.	copy of the securities issuance prospectus
IV. Derivatives Market: Futures on Securities and Currency:	
1.	decision of the Supervisory Board of the Exchange on the launch of futures on securities or currency
2.	standard Agreement template and its specification for futures on securities or currency
3.	approval from the authorized state body regulating the securities market for the launch of futures
V. Derivatives Market: Options on Securities:	
1.	decision on the issuance of options, registered with the authorized state body regulating the securities market
2.	prospectus for the issuance of options

VI. Derivatives Market: Uzbek Depository Receipts (UDR):	
1.	copy of the approved decision on the issuance of UDR by the State Central Securities Depository (or another body) within the quotas approved by the Cabinet of Ministers of the Republic of Uzbekistan
2.	copy of the state registration of the UDR issuance
VII. Derivatives Market: Exchange-Traded Fund (ETF):	
1.	decision on the issuance of ETF
2.	prospectus for the issuance of ETF, registered with the authorized state body for the securities market

** - The documents attached to the application must be provided by the issuer to the Exchange in full and must be certified by the issuer's seal. If such documents are not available in full due to the issuer's period of activity, documents compiled for the period of the issuer's activity are to be provided.*

*** - Additional Requirements for limited liability companies when applying for the inclusion of their bonds in the quotation list.*